NASAL AESTHETIC EVALUATION FOLLOWING PRE-SURGICAL NASO-ALVEOLAR MOLDING APPLICATION: A SINGLE-BLINDED COHORT RETROSPECTIVE STUDY IN INDONESIA

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ABSTRACT

Introduction: As a major facial aesthetic unit, the nose is one of the significant features in cleft lip and palate repair. The use of naso-alveolar molding (NAM) device was known to help narrow the cleft gap and improve nasal aesthetics. This study aims to evaluate post-operative nasal morphology in unilateral cleft lip and palate patients who had used presurgical NAM, particularly in an established craniofacial centre in one of developing countries.

Methods: A cohort retrospective study was conducted at Cleft Craniofacial Centre, Cipto Mangunkusumo Hospital Indonesia, comparing the nasal symmetry in unilateral cleft lip patients with and without prior application of NAM (NAM and control group), twelve months following primary cheiloplasty. Differences between the cleft and normal side were assessed using standard basilar view photographs based on five points nasal measurements.

Results: A total of twelve patients were enrolled, six with a history of NAM application and six without (control). Overall nasal measurements confirmed lower mean of differences in the NAM group compared to the control, showing statistically significant results in nostril height, nasal dome height, and columellar height (p <0.05).

Conclusion: This study provides an insight that cleft lip patients with history of NAM application had superior nasal symmetry compared to patients without presurgical NAM application 1-year post-cheiloplasty. Presurgical NAM application is recommended for patients with unilateral cleft lip and palate.

Keywords: Nasoalveolar Molding; Nasal Aesthetic; Labioplasty; Cleft Lip; Cleft Palate
INTRODUCTION

The orofacial cleft is the most common congenital facial and oral malformations worldwide; which includes cleft lip, cleft palate, or combination of both.\(^1\) According to the statistics, the prevalence of oral cleft is 1 – 2 in 1000 live births worldwide.\(^2\) Variations in prevalence are seen between different sex and ethnicity.\(^3,4\) Studies found that Asians generally possess a higher risk of having orofacial cleft than Caucasians and African Americans, scoring 2.1 for every 1000 live births.\(^5,6\)

Most studies described a higher incidence of cleft lip and palate in male patients.\(^7,8\) On the other hand, current epidemiology study on cleft deformities in Indonesia is still lacking, therefore an update on national epidemiological data is needed. Lubis et al. reported cleft lip and palate as one of the four most common congenital malformations in a hospital in Medan, Indonesia between the year 1981-1984, constituting 7.7% of the total cases.\(^9\) Whilst a separate study in a hospital in Manado, Sulawesi throughout 1983-1987 showed that cleft lip and palate malformations accounted up to 10.2% of all malformation cases, the highest amongst other malformations recorded.\(^10\)

The morphological structure of the nose greatly influences the overall aesthetics of the face. The nose is one of the major facial aesthetic units. One of the main goals of cleft lip and palate repair is to achieve nasal symmetry. Furthermore, the burden of orofacial clefts is beyond mere facial aesthetics; unrepaired clefts greatly affects speech and are associated with feeding problems, otitis media, and hearing loss.\(^11,12\) In unilateral cleft lip, the lower lateral nasal cartilage is depressed and the septal cartilage is displaced, altogether resulting in an asymmetrical tip, asymmetrical length of the alar rim, drooping of the alar height, and shortened columella in the affected side.\(^13,14\) Therefore, reconstruction of unilateral cleft lip remains a challenge.

The journey to excellent surgical outcome starts with targeted preoperative management. Grayson et al. first introduced the use of nasoalveolar molds in infants with cleft lip and palate.\(^15\) The nasoalveolar molding (NAM) device incorporates an oral molding plate with attached nasal stents personalized for every patient’s specific features (Figure 1). This manipulation should be initiated as early as possible, considering that the high level of estrogen in newborns was believed to correlate with upregulation of hyaluronic acid production, which inhibits the linking of the cartilage in the intercellular matrix. Moreover, the level of estrogen begins to decline immediately after birth.\(^14\)

The NAM is expected to aid the repair of columella, nasal cartilage and alveolar deformity by utilizing infants’ cartilage malleability and accommodating for maxillary alveolar approximation.\(^15\) The reduction of cleft width prior to primary closure also minimizes scar formation following repair, contributing to more appealing result.\(^15\) Additionally, the pre-surgical procedure preceding primary cleft repair was reported to abolish the need of secondary reconstructive surgery.\(^16,17\) A technique by Liou et al. demonstrated an improvement of nasal symmetry with the use of pre-surgical nasoalveolar molding, despite the presence of relapses.\(^18\)

Figure 1. The Naso-Alveolar Molding (NAM) device (used in our center). The Liou Technique was used to create this device. Note the oral molding plate with attached nasal stents, which was specifically designed for each individual. NAM device should be used before the primary lip repair takes place.

In Indonesia, additional expenses spent on NAM is not included in the national healthcare coverage, thus patients who agree on using NAM device would need to provide for...
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their own. The added cost greatly influence the family’s decision on using NAM. Moreover, the literature about NAM experience in South East Asia is still scant. In this study, researchers aim to evaluate nasal morphology post-operatively in unilateral cleft lip patients who had used presurgical NAM at an established craniofacial centre in Indonesia. Study was conducted by analysing differences in measurements of the nasal anatomy. We expected that the study could confirm the benefit of pre-surgical NAM application in patients with unilateral clefts.

METHOD

A cohort retrospective study was conducted at Cleft Craniofacial Centre (CCC) Cipto Mangunkusumo Hospital to compare the nasal measurements post-cheilopalatoplasty between those with and without the history of pre-surgical NAM application. Data was acquired from medical records, selecting relevant patients between 2013 and 2016. The calculated sample size was 20 with a power of 80%. Informed consent of their participation in the study had been obtained from the parents. Ethical approval number 0440/UN2.F1/ETIK/2018 had been granted by The Ethics Committee of the Faculty of Medicine, University of Indonesia.

Inclusion criteria for the NAM (treatment) group include: (1) complete unilateral cleft lip and palate; (2) a minimum of 3 months NAM application with good compliance, which is defined as continuous usage with removal only for bathing; and (3) had not been diagnosed with other craniofacial malformations or systemic diseases. Patients were excluded if cheiloplasty was performed less than 12 months before the measurement and if the surgery was not conducted at Cipto Mangunkusumo Hospital.

Measurement

The baseline characteristics, operation data, and NAM usage compliance were collected from the medical records. All eligible patients were examined at the follow-up meeting for primary data collection, including history taking, physical examination, and basilar view photograph of the nose.

The standard basilar view photographs to measure nasal symmetry were taken according to commonly used technique from similar previous study. The patient’s head was tilted back to bring the alar dome above the canthi yet below the eyebrows. The photographs were captured with a paper ruler as a benchmark. Three photographs were taken for each patient and one was picked subjectively. The selected photograph was measured three times at different timepoints using ImageJ®. The five linear measurements were taken and measured directly on the photographs.

The photographs and measurements taken were as follows (Figure 2 and 3):

- Nostril height (a): the distance measured from horizontal reference line perpendicular to the intersection of supero-medial of the nostril and nostril width bisecting line
- Nasal dome height (b): the distance measured from the horizontal reference line perpendicular to intersection of the outer border of the nasal dome and nostril width bisecting line
- Columella length (c): the distance measured from the most superior medial to the most inferior-medial of the medial side of nostril apertures. If the measurement line is extended, 90° angle should be formed with the horizontal reference.
- Nostril width (d): the distance measured from the most inner medial border of nostril apertures to the most outer lateral border of the nostril. The line should be parallel with the horizontal reference line.
- Nostril basal width (e): the distance measured from the most inner medial to the most outer lateral of the nostril apertures. The line should be parallel with the horizontal reference line.

Outcomes

The primary outcome was the nasal symmetry between the left and right side, measured by identifying the differences in several nasal measurement variables. Differences were calculated by subtracting measurements of the normal nasal side with the cleft side, in millimetres. All variables of the outcome were presented in modulus and reviewed by one of the authors who was unaware of the study-group assignment.

\[
\text{Difference} = |a_1 - a_2|
\]

\[
a_1 = \text{Non-cleft side}
\]

\[
a_2 = \text{Cleft side}
\]
Statistical Analysis
Variables were reported as means ± standard deviation. Differences between continuous variables were analysed using the two-tailed nonpaired t-test and/or Mann-Whitney test depending on the normality test result. Whereas differences between distributions were assessed using the Pearson’s x2 test. Statistical significance was inferred with a value of $p$ less than 0.05.

Figure 2. Five nasal measurement consisting of measurements of nostril height (a), nasal dome height (b), columella length (c), nostril width (d), nostril basal width (e).

Figure 3. Basilar view of (A) patient with history of pre-surgical NAM application and (B) patient without history of pre-surgical NAM application, observed 1 year following primary cheiloplasty.

RESULTS
A total of twelve consecutive patients (6 males, 6 females) were included in this study and assigned into 2 treatment groups: 6 patients with the history of pre-surgical NAM application (NAM group) and 6 without (control group) (Table 1). The number of individuals at each stage of the selection process was displayed below (Figure 4 and 5). There were 9 left-sided and 3 right-sided clefts. Modified Millard method for cleft lip repair was mostly used by the majority of the surgeons in this study. In all five nasal measurement variables, the NAM group showed a lower mean of difference compared to the control group (Table 2). Furthermore, the nostril height (a), nasal dome height (b), and the columella length (c) statistically showed significant symmetry between both normal and cleft side in the NAM group ($p = 0.007$, $p = 0.001$, and $p = 0.021$ respectively).
Figure 4. Flow diagram of the NAM group.

Figure 5. Flow diagram of the control group.
Table 1. Baseline characteristics between groups

<table>
<thead>
<tr>
<th></th>
<th>NAM (n=6)</th>
<th>Control (n=6)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age*</td>
<td>35.5 (26-36) months</td>
<td>33.5 (21-45) months</td>
</tr>
<tr>
<td>Male</td>
<td>2 patients</td>
<td>4 patients</td>
</tr>
<tr>
<td>Female</td>
<td>4 patients</td>
<td>2 patients</td>
</tr>
<tr>
<td>Left-side cleft</td>
<td>4 patients</td>
<td>5 patients</td>
</tr>
<tr>
<td>Right-side cleft</td>
<td>2 patients</td>
<td>1 patient</td>
</tr>
<tr>
<td>First initiation of NAM*</td>
<td>21 (13-44) days</td>
<td>-</td>
</tr>
<tr>
<td>The age at labioplasty</td>
<td>Mean ± SD (95% CI)**</td>
<td></td>
</tr>
<tr>
<td></td>
<td>4.83 ± 1.33 (3.44-6.23) months</td>
<td>3.67 ± 0.82 (2.81-4.52) months</td>
</tr>
<tr>
<td>Duration of NAM use</td>
<td>Mean ± SD (95% CI)**</td>
<td></td>
</tr>
<tr>
<td></td>
<td>4.5 ± 1.05 (3.40-5.60) months</td>
<td>-</td>
</tr>
<tr>
<td>The age at palatoplasty</td>
<td>Mean ± SD (95% CI)**</td>
<td></td>
</tr>
<tr>
<td></td>
<td>14.67 ± 3.08 (11.44-17.90) months</td>
<td>14.83 ± 4.40 (10.22-19.45) months</td>
</tr>
<tr>
<td>Technique of operation</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1. Modified Millard</td>
<td>4</td>
<td>6</td>
</tr>
<tr>
<td>2. Fisher</td>
<td>2</td>
<td>0</td>
</tr>
</tbody>
</table>

*Abnormal distribution is presented with median (range)
**Normal distribution is presented with mean (standard deviation)

Table 2. Nasal symmetry between groups

<table>
<thead>
<tr>
<th>Variables</th>
<th>Mean ± SD*</th>
<th>P**</th>
<th>95% CI</th>
</tr>
</thead>
<tbody>
<tr>
<td>A</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>No history of NAM</td>
<td>3.40 ± 1.13</td>
<td>0.007</td>
<td>1.92 (0.67 - 3.17)</td>
</tr>
<tr>
<td>With history of NAM</td>
<td>1.48 ± 0.71</td>
<td></td>
<td></td>
</tr>
<tr>
<td>B</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>No history of NAM</td>
<td>2.93 ± 0.72</td>
<td>0.001</td>
<td>1.73 (0.90 - 2.57)</td>
</tr>
<tr>
<td>With history of NAM</td>
<td>1.20 ± 0.54</td>
<td></td>
<td></td>
</tr>
<tr>
<td>C</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>No history of NAM</td>
<td>1.54 ± 0.61</td>
<td>0.021</td>
<td>0.89 (0.17 - 1.59)</td>
</tr>
<tr>
<td>With history of NAM</td>
<td>0.66 ± 0.49</td>
<td></td>
<td></td>
</tr>
<tr>
<td>D</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>No history of NAM</td>
<td>2.19 ± 2.04</td>
<td>0.525</td>
<td>0.63 (-1.57 - 2.82)</td>
</tr>
<tr>
<td>With history of NAM</td>
<td>1.57 ± 1.09</td>
<td></td>
<td></td>
</tr>
<tr>
<td>E</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>No history of NAM</td>
<td>2.07 ± 1.80</td>
<td>0.768</td>
<td>0.27 (-1.75 - 2.30)</td>
</tr>
<tr>
<td>With history of NAM</td>
<td>1.79 ± 1.25</td>
<td></td>
<td></td>
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</table>

* Data shows mean ± SD of measurement differences, obtained by subtracting measurements of the normal nasal side with the cleft side, measured in millimeters.
** Independent T-test
DISCUSSION

This study took place in a cleft craniofacial centre in a developing country, in which a multi-disciplinary approach, is established and implemented in the patient management. The multi-disciplinary team consists of plastic surgeons, orthodontists, paediatricians, and physical rehabilitation physicians.

Five-point nasal measurements used in this study showed a clinically significant lower mean of differences in the NAM group compared to the control group. This finding suggested the superiority of pre-surgical NAM application to improve nasal symmetry and overall aesthetic results. Moreover, the nasal dome height showed consistent and significant results, both clinically and statistically, as confirmed by other studies on short-term and long-term follow-up.19,20,21,22

A study by Rachwalski and colleagues in India, which assessed the nasal symmetry prior to primary cheiloplasty using basal view photographs, found that the pre-surgical NAM application exhibited superior nasal aesthetics at the age of 6-7 months by elevating nasal height (P=0.003), enhancing nasal width (P=0.007), improving columellar length (P=0.02), and correcting columellar angle (P=0.02).19 Several studies also presented positive results on nasal symmetry on short-term follow-ups.20,21,22 However, those studies did not involve any control group as direct comparison.

The application of pre-surgical NAM possesses several objectives related to improved nasal aesthetics, for instance: to repair the mispositioned nasal cartilages, to elongate columella and philtrum; to give a natural curve to the alar rim, to fetch the columella towards the midsagittal plane, and to enhance nasal symmetry.14 It allows stimulation and redirection of growth for the repositioning of the alveolar segments as well as shapes the ideal arch form, normalizes the tongue position, aids in speech development, improves appearance, provides psychosocial assurance, and improves feeding and bone contour.23 Even though NAM was believed to provide a promising nasal aesthetic result on short-term follow-up, study in a long-term period remains unknown. 9 year-follow-up following the surgery demonstrated more consistent results from 2 out of 3 basilar view measurements. Nasal dome height and nasal ala projection length showed statistically significant difference, yet columellar deviation was shown to be reciprocal.24 Another study by Bennum and colleagues also showed significant improvements in nasal tip protrusion and columellar length on 6 year follow up, as similarly proven in this study.25 These results justify our findings, suggesting that pre-surgical NAM application significantly improved nasal aesthetic especially the columella length and the nasal dome height.

However, several limitations were found in this study. The small number of enrolled participants was the major limiting factor due to loss to contact and loss to follow up. The centre accepts referred patients nationwide and therefore majority of the patients live far from the capital, which potentially hindered the follow-up process. The outcomes were also limited to a two-dimensional photographic measurements and first initiation of NAM application that were often not started at the ideal age (one-month).26 The unpunctual timing of hospital admission was speculated to delay the patient from receiving immediate treatment. Timing of the surgery also varied among patients. Additionally, surgeries were performed by different plastic surgeons with non-uniformed techniques and non-levelled skills, which might subjectively impact the results. Lastly, there was a lack of supervision to assess patient’s compliance throughout the NAM application period. Further randomized controlled trial on a larger scale performed by the same operator with consistent techniques assessed on long-term follow-up is required to provide high-level evidence on pre-surgical NAM application prior to primary cheiloplasty.

CONCLUSION

This study demonstrated a valuable insight that patients receiving pre-surgical NAM achieved better nose symmetry than patients who had no history of NAM on 1 year follow up post-cheiloplasty at an established craniofacial centre in developing country. Significant differences were observed in nostril height, nasal dome height and columella length measurements. Despite the limitations of the study, the use of pre-surgical NAM should be considered for all patients with unilateral cleft lip and palate, regardless of the patient’s economic status.
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